

**REGULATIONS GOVERNING DOCTOR OF PHILOSOPHY  
(Ph.D.)  
IN HEALTH AND  
ALLIED HEALTH SCIENCE FACULTIES**



**SDUAHER**

**2019**

**SRI DEVARAJ URS ACADEMY OF HIGHER EDUCATION AND RESEARCH**

**ADEEMED TO BE ACADEMY**

(Declared Under Section 3 of UGCAct 1956, MHRD GOI No.F.9-36/2006-U.3 (A), Dt. 25thMay 2007)

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## **REGULATIONS GOVERNING DOCTOR OF PHILOSOPHY (Ph.D.) IN HEALTH AND ALLIEDHEALTH SCIENCE FACULTIES**

### **Preamble**

Sri Devaraj Urs Academy of Higher Education & Research ( A deemed to be University ) is committed to encourage research activities involving local, national and global issues in Health /Allied health and Basic sciences, and develop manpower for the purpose.

The University Grants Commission (UGC) has issued a Notification on 5th May 2016 regarding minimum standards and procedures for award of M.Phil./Ph.D. degree and makes Regulations 2016, which have been notified vide Gazette of India dated 13-07-2016.

This regulations is in force from the date of Notification and suppress the UGC minimum standards and procedures for award of M.Phil./Ph.D. degree Regulations 2009 notified in the Gazette of India No 28,part III-section 4 for the week july11- 17,2009.

Consequently, Sri Devaraj Urs Academy of Higher Education and Research (SDUAHER) makes the regulations in conformity with the UGC minimum standard and procedure for award of M.Phil./Ph.D. degree regulations 2016 and set forth for practice as minimum standards for award of M.Phil./Ph.D. degree.

# **REGULATIONS GOVERNING THE DEGREE OF DOCTOR OF PHILOSOPHY (Ph.D.)**

## **1 NOMENCLATURE OF THE DEGREE**

The degree awarded by the Academy shall be called DOCTOR OF PHILOSOPHY (Ph.D.) from Faculty of Medicine or Faculty of Allied Health and Basic sciences

## **2 SHORT TITLE, APPLICATION AND COMMENCEMENT**

Doctor of Philosophy" (Ph.D.) This Regulation shall be called as "Ph.D. regulations of *Sri Devaraj Urs Academy of Higher Education and Research*, shall come into force with effect from the academic year 2016-17.

## **3 ELIGIBILITY CRITERIA FOR ADMISSION TO Ph.D. PROGRAMME**

The following persons are eligible to seek admission to the Ph.D. programme

3.1 Master's degree holders with at least 55% marks in aggregate or its equivalent grade 'B' in the UGC 7-point scale or an equivalent grade in a point scale wherever grading system is followed.

A relaxation of 5% of marks, from 55% to 50%, or an equivalent relaxation of grade, may be allowed for those belonging to SC/ST/OBC (non-creamy layer)/Differently-Abled and other categories of candidates as per the decision of the Commission from time to time or for those Who had obtained their Master's degree prior to 19<sup>th</sup> September 1991. The eligibility marks of 55% (or an equivalent grade in a point scale wherever grading system is followed) and the relaxation of 5% to the categories mentioned above are permissible based only on the qualifying marks without including the grace mark procedure.

3.2 Candidates possessing a Degree considered equivalent to M.Phil. (2years) Degree of an Indian Institution, from a Foreign Educational Institution accredited by an Assessment and Accreditation Agency which is approved, recognized or authorized by an authority, established or incorporated under a law in its home country or any other statutory authority in

that country for the purpose of assessing, accrediting or assuring quality and standards of educational institutions, shall be eligible for admission to Ph.D. programme.

The following persons are eligible to seek admission for Ph.D. Programmes

Faculty of Medicine	Faculty of Allied Health & Basic Sciences
<b>Postgraduate degree - (Professional-3years)</b>  Master of Science ( 3 years) in a) Anatomy b) Physiology c) Biochemistry d) Microbiology	<b>Postgraduate degree</b>  Master of Science (2 years) in a) Life Science b) Biological Science c) Allied Health and Basic Sciences
At least 55%marks in aggregate at Master's Degree or Professional Degree Equivalent to Master's Degree.	At least 55%marks in aggregate at Master's Degree or Equivalent Grade B in the UGC 7 - point scale

#### 4 DURATION OF THE PROGRAMME

Three category of Ph.D. Regular full time (external), In-service part time (internal) and external part time

4.1 Ph.D. Programme shall be for a minimum duration of three years, including course work and a maximum of six years (for regular full time Ph.D. scholars).

Internal part time or external part time candidate's duration shall be minimum **five years** and a maximum of six years.

4.2 Under extraordinary circumstances, extension of maximum **six months** beyond the above limits will be governed by the Vice Chancellor on the recommendation of Doctoral Advisory Committee / Research Advisory Committee.

4.3 The women candidates and Persons with Disability (more than 40% disability) may be allowed a relaxation of two years for Ph.D.in the maximum duration (maximum duration plus 2 years). In addition, the women candidates may be provided Maternity Leave /Child Care Leave once in the entire duration of Ph.D. for up to 240 days excluding minimum duration of Ph.D. Programme.

4.4 Part time Ph.D. will be allowed provided all the conditions mentioned in the extant Ph.D. regulations are met

There shall be two categories of part time Ph.D. candidates

- **Part Time Ph.D. (Internal) students:** employed in SDUAHER and pursue Ph.D.
- **Part Time Ph.D. Student (External) students:** employed in outside institution / Research Centre /organization Sponsored for pursuing Ph.D. Programme.

**External part time:** SDUAHER issue recognition as research centers based on research facilities, availability of co-supervisor, and willing to issue of No objection certificate to the candidate.

Even though, candidate shall be required to work under the Supervisor directly at least for 90 working days during the Ph.D. Duration and attending one semester compulsory course work.

## 5 PROCEDURE FOR ADMISSION

5.1 Eligible Candidates desirous to seek Ph.D. registration shall submit the application in the prescribed form through proper channel wherever applicable before 5th of the July. The programme shall commence on 1st August of every year.

Admission of Ph.D. students shall takes place through Entrance test conducted at SDUAHER.

For those students who qualified in UGC-NET (including JRF)/UGC-CSIR NET (including JRF) /SLET/GATE/teacher fellowship holder or have passed **2years** M.Phil. Programme *shall exempt from the written test. But they need to appear before interview committee.*

5.2 The Academy decides on an annual basis through their academic bodies a predetermined and manageable number of Ph.D. scholars to be admitted depending on the number of available Research Supervisors and other academic and physical facilities available, keeping in mind the norms regarding the scholar- teacher ratio (as indicated in Para 6.5), laboratory, library and such other facilities.

5.3 The Academy shall notify well in advance in the institutional website and through advertisement in at least two (2) national newspapers, of which at least one (1) shall be in the regional language, the number of seats for admission, subject/discipline-wise distribution of available seats, criteria for admission, procedure for admission, examination Centre (s) where entrance test(s) shall be conducted and all other relevant information for the benefit of the candidates.

5.31 Adhere to the National/State-level reservation policy, as applicable

5.32 The admission shall be based on the criteria notified by the Institution, keeping in view the guidelines/norms in this regard issued by the UGC and other statutory bodies concerned, and taking into account the reservation policy of the Central/State Government from time to time.

5.33 The Application scrutiny committee scrutinizes all the applications and prerequisite eligibility criteria as per UGC Guidelines, and directs the Centre to consider the recommended list of eligible applicants for appearing entrance examination.

5.34 The Academy admits candidates by a two stage process occurs through

An Entrance Test, shall be qualifying marks as 50% The syllabus of the Entrance Test shall consist of 50% of Research methodology and 50% shall be subject specific. The Entrance test shall be conducted at the Centre notified in advance. **The weightage of entrance test result shall be given 70 percent**

An interview /viva voce to be organized for **qualified candidates** to discuss their research interest /area through presentation before duly constituted committee. **The weightage for interview shall be given 30 percent**

5.35 The interview/viva voce shall also consider the following aspects, viz.  
Whether:

- The candidate possesses the competence for the proposed research;
- The research work can be suitably undertaken at the Institution/College;
- The proposed area of research can contribute to new/additional knowledge.

5.36 A relaxation of 5% of marks (from 50% to 45%) shall be allowed for the candidates belonging to SC/ST/OBC (Non- creamy layers)/Differently-Abled category in the entrance examination conducted by the Academy.

(First amendment to Ph.D. regulations 2016 (clause 5.4.1) in a vide notification F.No.1-1/ 2002 (PS) Exempt Pt. F I-III Volume 2 to minimum standards and procedure for award of M.Phil./Ph.D. degrees regulation 2018,dated 27<sup>th</sup> August 2018).

5.37 The Academy shall maintain the list of all the Ph.D. registered students on its website on year-wise basis. The list shall include the name of the registered candidate, topic of his/her research, name of his/her supervisor/co-supervisor, date of enrolment/registration.

## **6 ALLOCATION OF RESEARCH SUPERVISOR**

**Eligibility Criteria to be a Research Supervisor, Co-Supervisor, Number of M.Phil./Ph.D. Scholars permissible per Supervisor.**

6.1 **Eligibility criteria to be a Research Supervisor:** Any regular Professor of the University / Institution Deemed to be University /College with at least five research publications in refereed journals and any regular Associate/Assistant Professor of the University /institution deemed to be University /college with a Ph.D. degree and at least two research publications in refereed journals may be recognized as Research Supervisor.

Provided that in areas/disciplines where there is no or only a limited number of refereed journals, the Institution may relax the above condition for recognition of a person as Research Supervisor with reasons recorded in writing.

6.2 Only a full time regular teacher of the concerned University /Institution, Deemed to be University /College can act as a supervisor. The external supervisors are not allowed. However, Co- Supervisor can be allowed in inter-disciplinary areas from other departments of the same institute or from other related institutions with the approval of the Research Advisory Committee.

6.3 The allocation of Research Supervisor for a selected research scholar shall be decided by the Department concerned depending on the number of scholars per Research Supervisor, the available specialization among the Supervisors and research interests of the scholars as indicated by them at the time of interview/*viva -voce*.

6.4 In case of topics which are of inter-disciplinary nature where the Department concerned feels that the expertise in the Department has to be supplemented from outside, the Department may appoint a Research Supervisor from the Department itself, who shall be known as the Research Supervisor, and a Co-Supervisor from outside the Department / Faculty / College / Institution on such terms and conditions as may be specified and agreed upon by the consenting Institutions/Colleges.

6.5 Number of Ph.D. Scholars permissible per Supervisor:

A Research Supervisor/Co-supervisor who is a Professor, at any given point of time, cannot supervise more than Eight (8) Ph.D. scholars. An Associate Professor as Research Supervisor can supervise up to a maximum of six (6) Ph.D. scholars and an Assistant Professor as Research Supervisor can Supervise up to a maximum of four (4)Ph.D. scholars.

#### Permissible Ph.D. Scholars to supervisors

SL No	Designation	Eligibility to consider as recognized Ph.D. Supervisor		Students intake
		As per UGC	As per MCI	
01	Regular Assistant Professor	Ph.D. degree with 2 publications In the refereed Journals	Not eligible	04
02	Regular Associate Professor	Ph.D. degree with 2 publications In the refereed Journals	Not Eligible	06
03	Regular Professor	Ph.D. degree with 5 publications In the refereed Journals (Scopus/ PubMed/Web of science and in UGC listed journals)	Ph.D. Supervisor shall have not Less than 15 years teaching and research experience after PG degree, and shall also have not less than 10 years PG teaching experience as faculty member. (MCI amendment may 2018)	08



6.6 In case of relocation of Ph.D. woman scholar due to marriage or otherwise, the research data shall be allowed to be transferred to the Academy to which the scholar intends to relocate provided all the other conditions in these regulations are followed in letter and spirit and the research work does not pertain to the project secured by the parent institution/ supervisor from any funding agency. The scholar will however give due credit to the parent Supervisor and the institution for the part of research already done.

6.7 Eligibility Criteria to Become a Co-Supervisor is similar to that of a Supervisor

Note: Allocate co-supervisor to all the Ph.D. scholars

### **6.8 CHANGE OF SUPERVISOR**

Change of Supervisor may be allowed with the approval from the Research Advisory committee and Vice Chancellor of the Academy under extraordinary circumstances.

6.81 The conditions under which change is allowed on student request are:

- The Supervisor has on leave for more than 6 months
- On attaining superannuation ( 65 Years )
- left the Academy / Institution
- When there is illness or death of the Supervisor.

6.82 However, a supervisor, left the institution can be continued as Supervisor for 6 months after submission of his/her Ph.D. final synopsis.

6.83 Academy can also assess the issues where the request from the scholar

- a) Ph.D. Scholar on completion of two years of his/her research work under the guidance of Supervisor and when such Supervisor is transferred to other area, and then Co-Supervisor of the scholar can supervise the scholar with the permission of the Academy and he/she shall act as Supervisor to such Ph.D. scholars.

- b) Ph.D. Scholar is interested to move along with the Supervisor in the event of the transfer of the Supervisor, the scholar will be permitted with the permission of the Research Advisory Board provided the new place/Centre has been recognized by the SDUAHER as research Centre.

## 7.0 COURSE WORK

### **Credit Requirements, Number, duration, syllabus, minimum standards for completion.**

7.1 The credit assigned to the Ph.D. course work shall be a minimum of 8 credits and maximum of 16 credits.

7.2 The Course work shall be treated as prerequisite for Ph.D. preparation. A minimum of four credits shall be assigned to one or more courses on Research Methodology which could cover areas such as quantitative methods, computer applications, research ethics and review of published research in the relevant field, training, field work, etc. Other courses shall be advanced level courses preparing the students for Ph.D. degree.

7.3 All courses prescribed for Ph.D. course work shall be in conformity with the credit hour instructional requirement and shall specify content, instructional and assessment methods. They shall be duly approved by the authorized academic bodies.

7.4 The Department where the scholar pursues his/her research shall prescribe the course(s) to him/her based on the recommendations of the Research Advisory Committee, as stipulated under sub-Clause 8.1 below, of the research scholar.

7.5 All candidates admitted to the Ph.D. programmes shall be required to complete the Course work prescribed by the Department during the initial one or two semesters.

7.6 Candidates already holding M.Phil. degree and admitted to the Ph.D. programme, or those who have already completed the course work in M.Phil. and have been permitted to proceed to the Ph.D.in integrated course, maybe exempted by the Department from the

Ph.D. Course work. All other candidates admitted to the Ph.D. programme shall be required to complete the Ph.D. Coursework prescribed by the Department.

7.7 Grades in the Course work, including research methodology courses shall be finalized after a combined assessment by the Research Advisory Committee and the Department and the final grades shall be communicated to the Institution/College.

## 7.8 SCHEME OF PRE Ph.D. EXAMINATION

7.81 The scheme for Pre-Ph.D. examination has two written papers, paper 1 on Research methodology, paper 2 on subject specific (each paper of three hours duration with maximum of 100 marks).

- Paper-1 covers quantitative methods, computer applications, Research ethics, review of published research in the relevant field, training and field work.
- Paper-2 subject specific/ area of specialization/specialization background of the Ph.D. topic Each scholar subject specific syllabus in the area of specialization with recent developments shall be prescribed by the Research supervisor of SDUAHER and should be submitted a month before the examination.

7.82 The Board of Examiners for the Pre Ph.D. Examination consists of

- Supervisor/Internal Examiner
- One external examiner

7.83 The Syllabus for the two theory papers of Pre-Ph.D. examination will be submitted to Controller of Examination.

7.84 In case the candidate is not successful in the Pre Ph.D. Examination in the first attempt he/she may be given one more chance to appear for the failed subject in the examination by paying requisite Examination fee of Rs.1000/- (one thousand ) per paper within six weeks of announcement of Pre-Ph.D. results.

7.85 If the candidate is not successful in the Pre Ph.D. examination, even in the second chance/attempt, his/her provisional registration shall stand cancelled. Provisional registration of the candidates will be confirmed only after they pass the Pre Ph.D. examination.

7.9 Ph.D. scholar has to obtain a minimum of 55% of marks or its equivalent grade **B** in the UGC 7-point scale (or an equivalent grade/CGPA in a point scale wherever grading system is followed) in the Course work in order to be eligible to continue in the programme and submit the thesis.

#### **7.91 PERMANENT REGISTRATION**

Every candidate provisionally registered for the Ph.D. should

- 1) Submit original PG degree certificate and marks cards to justify 55% in PG degree as eligibility criteria.
- 2) Certificate to claim relaxation of 5% of marks, from 55% to 50%, or an equivalent relaxation of grade, by those belonging to SC/ST/OBC (non-creamy layer)/Differently-abled candidates, original documents in support of those obtained their Master's degree prior to 19th September, 1991.
- 3) Original marks card of Pre Ph.D. completion.
- 4) Ethical Clearance certificate.
- 5) Receipt of the tuition fee paid.

Such provisionally registered candidates are allowed by the Research Advisory Committee for permanent registration after one year.

## **8.0 RESEARCH ADVISORY COMMITTEE/ DOCTORAL ADVISORY COMMITTEE AND IT'S FUNCTIONS**

There shall be a Research Advisory Committee, or an equivalent body for similar purpose as defined in the Statutes/Ordinances of the Institution concerned, for each Ph.D. scholar.

The composition of Research Advisory committee designated by Honorable Vice chancellor.

- Dean of the Faculty concerned ... Member
- Chairperson, BOS concerned ... Member
- Director of Academics.....Member
- Recognized supervisor and co supervisor .....Member & Convener
- One External Expert from PG Centre-Rank of Professor ... Member
- Concerned Department HOD ....Member
- Co-ordinator Ph.D. Programmes ....Member secretary

8.10 This Committee shall have the following responsibilities

8.1.1 To review the research proposal and finalize the topic of research

8.1.2 To Supervisor the research scholar to develop the study design and

Methodology of research and identify the course(s) that he/she may have to do.

8.1.3 To periodically review and assist in the progress of the research work of the Research Scholar.

### **8.1.4 SUBMISSION OF HALF YEARLY PROGRESS REPORTS**

8.1.41 Every candidate shall submit duly signed hard copy of the half yearly progress report periodically in the prescribed format with suggestions through the Supervisor and Co-Supervisor to the Registrar of the Academy with a copy to the head of the department concerned and the Head of the Institution for assessment by the subject experts of the Research Advisory committee and their suggestions.

Their suggestions will be communicated to the concerned candidate and Supervisor.

8.1.42 Every half yearly progress report shall be submitted for the period from the commencement of the Programme from August to the end of January (by 10<sup>th</sup> of February). However, the 2nd report from February to end of July (by 10<sup>th</sup> of August) Along with the log books

8.1.43 All the Ph.D. students should maintain a Logbook, duly signed by the Supervisor and Head of the department/Institution and this should be made available at the time of inspection and examination.

8.1.44 The Research proposal of the Ph.D. topic submitted by the candidates should have been cleared by the appropriately constituted Ethics Committee of the Academy (Respectively for Experiments on human subjects and / or animals) before commencement of Research work.

8.2 A research scholar shall appear before the Research Advisory Committee once in six months to make a presentation of the progress of his/her work for evaluation and further guidance. The progress/status of half yearly reports shall be submitted by the Research Advisory Committee to the Institution/College with a copy to the research scholar.

Note: The proceedings of the Doctoral Advisory Committee/ Research Advisory Committee shall be circulated to all the members and Ph.D. scholars participated in presentation of their research work progress.

8.3 In case the progress of the research scholar is unsatisfactory, the Research Advisory committee shall record the reasons for the same and suggest corrective measures measures. If the research scholar fails to implement these corrective measures, the Research Advisory Committee may recommend to the Institution/College with specific reasons for cancellation of the registration of the research scholar.

*Note: If three consecutive half yearly progress reports are not satisfactory, Research Advisory Committee may recommend to the Academy for Cancellation of the registration.*

#### 8.4 CHANGE OF TITLE OF RESEARCH

8.41 Ph.D. candidate can partially modify the title of his/her proposed Research proposal /thesis research work under extra ordinary circumstances on prior permission from the Ph.D. Research Advisory Committee and approved by Vice-Chancellor.

#### 9.0 EVALUATION AND ASSESSMENT METHODS, MINIMUM STANDARDS / CREDITS FOR AWARD OF THE DEGREE

9.1 Upon satisfactory completion of Course work, **pre Ph.D. examination**, and obtaining the marks/grade prescribed in sub clause 7.9 above, **Ethical clearance**, as the case may be, Ph.D. scholar shall be required to undertake research work.

**Provisional registration converts to permanent registration at the end of one year.**

9.2 Prior to the submission of the **final synopsis** and also thesis, the scholar shall make a presentation in the Department before Research Advisory Committee of the Institution concerned which shall also be open to all the faculty members and other research scholars. The feedback and comments/suggestions obtained from them may be suitably incorporated into the final synopsis / thesis in consultation with the Research Advisory Committee.

9.21 **Submission of Final Synopsis:** Ph.D. scholar shall submit, duly signed six hard copies, one CD format of the final synopsis of the research work, Panel of external examiners to the Controller of Examinations through Ph.D. Centre. Enclose “No due certificates” from the Head of the Institution where he/she has worked, from the Academy Library and from the Finance Officer of the SDUAHER.

9.3 Ph.D. scholars must publish at least one research paper in Refereed journals ( **Scopus/PubMed/ web of science /UGC Listed Journals**) and make two paper presentations in conferences/seminars before the submission of the thesis for adjudication and produce evidence for the same in the form of presentation certificates and/or reprints.

9.4 The Academic Council (or its equivalent body) of the Institution shall evolve a mechanism using well developed software and gadgets to detect plagiarism and other forms of academic dishonesty

while submitting for evaluation, the thesis shall have an undertaking from the research scholar and certificate from the research supervisor attesting to the originality of the work, vouching that there is no plagiarism, and that the work has not been submitted for the award of any other degree / diploma of the same institution where the work was carried out or to any other institution.

9.41 **Promotion of Academic integrity and prevention of plagiarism** in Higher Education Institutions regulations 2018 dated 23rd July, 2018 shall be applied to the Ph.D. thesis before submission.

9.42 **Penalties in case of plagiarism in submission of thesis and dissertations**

Institutional Academic Integrity Panel (IAIP) shall impose penalty considering the severity of the Plagiarism.

- 1) **Level 0:** Similarities up to 10% - Minor Similarities, no penalty.
- 2) **Level 1:** Similarities above 10% to 40% - Such student shall be asked to submit a revised script within a stipulated time period not exceeding 6 months.
- 3) **Level 2:** Similarities above 40% to 60% - Such student shall be debarred from submitting a revised script for a period of one year.
- 4) **Level 3:** Similarities above 60% -Such student registration for that programme shall be cancelled.

**Note 1: Penalty on repeated plagiarism-** Such student shall be punished for the plagiarism of one level higher than the previous level committed by him/her. In case where plagiarism of highest level is committed then the punishment for the same shall be operative.

**Note 2: Penalty in case where the degree/credit has already been obtained** - If plagiarism is proved on a date later than the date of award of degree or credit as the case may be then his/her degree or credit shall be put in abeyance for a period recommended by the Institutional Academic Integrity Panel and approved by the Head of the Institution.



### 9.43 Penalties in case of plagiarism in academic and research publications

- 1) **Level 0:** Similarities up to 10%  
Minor similarities, no penalty.
- 2) **Level 1:** Similarities above 10% to 40%  
Shall be asked to withdraw manuscript.
- 3) **Level 2:** Similarities above 40% to 60%
  - Shall be asked to withdraw manuscript.
  - Shall be denied a right to one annual increment.
  - Shall not be allowed to be a supervisor to any new Master's, M.Phil., Ph.D. Student/scholar for a period of two years.
- 4) **Level 3:** Similarities above 60%
  - Shall be asked to withdraw manuscript.
  - Shall be denied a right to two successive annual increments.
  - Shall not be allowed to be a supervisor to any new Master's, M.Phil., Ph.D. Student/scholar for a period of three years.

### 9.5 Ph.D. thesis adjudication:

9.51 The Ph.D. thesis submitted by a research scholar shall be evaluated by his/her Research Supervisor and at least two external examiners, who are not in employment of the Institution/College, of whom one examiner may be from outside the country/ **state**.

9.52 The viva-voce examination, based among other things, on the critiques given in the evaluation report, shall be conducted by the Research Supervisor and at least one of the two external examiners, and shall be open to be attended by Members of the Research Advisory Committee, all faculty members of the Department, other research scholars and other interested experts/researchers.

**Note:** any critiques on thesis shall made available to the candidates in advance

## **9.6 Public defense Viva voce examination:**

9.61 The public viva-voce of the research scholar to defend the thesis shall be conducted only if the evaluation report(s) of the external examiner(s) on the thesis is/are satisfactory and include a specific recommendation for conducting the viva-voce examination.

9.62 If the evaluation report of the external examiner of the Ph.D. thesis is unsatisfactory and does not recommend viva-voce, the Institution shall send the thesis to another external examiner out of the approved panel of examiners and the viva-voce examination shall be held only if the report of the latest examiner is satisfactory.

If the report of the latest examiner is also unsatisfactory, the thesis shall be rejected and the research scholar shall be declared ineligible for the award of the degree.

9.7 The Institutions shall develop appropriate methods so as to complete the entire process of evaluation of Ph.D. thesis within a period of six months from the date of submission of the thesis.

Note: One Academy representative as observer shall submit a report on proceedings of Viva-Voce examination to the Registrar /controller of Examination

## **10.0 TREATMENT OF Ph.D. THROUGH DISTANCE MODE**

10.10 Notwithstanding anything contained in these Regulations or any other Rule or Regulation, for the time being in force, no University; Institution, Deemed to be a University and College shall conduct Ph.D. Programmes through distance education mode.

10.20 Part-time Ph.D. will be allowed provided all the conditions mentioned in the extant Ph.D. Regulations are met. The academy offers Ph.D. Part time as internal and external mode.

### **10.21 CONVERSION OF FULL-TIME Ph.D. PROGRAMME TO PART TIME**

Ph.D. scholar pursuing under full-time scheme may (with the approval of the Vice Chancellor), convert to part-time scheme provided he/she has completed 2 years in the full-time scheme and has published a minimum of one paper. In such a case of conversion, the minimum duration of the total Ph.D. programme shall be 4 years.

### **11.0. DEGREES AWARDED BY FOREIGN UNIVERSITIES:**

11.10 If the M.Phil./Ph.D. degree is awarded by a Foreign University, the Indian Institution considering such a degree shall refer the issue to a Standing Committee constituted by the concerned institution for the purpose of determining the equivalence of the degree awarded by the foreign University.

### **12.0 RESULTS**

**12.10** The candidate shall be declared for the award of the degree of Doctor of Philosophy only on the unanimous recommendations of the members of the board of examiners after the viva voce examination.

### **13.0 DESPOSITORY WITH INFLIBNET**

Following the successful completion of the evaluation process and before announcements of the award of the Ph.D. degree(s), the institution concerned shall submit an electronic copy of the Ph.D. thesis to the INFLIBNET for hosting the same so as to make it accessible to all institutions/colleges.

### **14.0 PROVISIONAL DEGREE CERTIFICATE**

Prior to actual award of the degree, the degree awarding institution shall issue a Provisional Certificate to the effect that the degree has been awarded in accordance with the provisions of these UGC regulations 2016.

